Supreme Court of Nevada ADMINISTRATIVE OFFICE OF THE COURTS

KATHERINE STOCKS
Director and State Court
Administrator



JOHN MCCORMICK Assistant Court Administrator

<u>AGENDA</u>

Commission to Study the Rules Governing Judicial Discipline and Update, as Necessary, the Nevada Code of Judicial Conduct

Date and Time of Meeting: November 1, 2022 @ 3:00 pm **Place of Meeting:** Remote Access via Zoom (Zoom.com or Zoom app, see "Notices" for access information)

All participants attending remotely should mute their lines when not speaking; it is highly recommended that teleconference attendees use a landline and handset in order to reduce background noise.

- I. Call to Order
 - A. Call of Roll
 - B. Determination of a Quorum
 - C. Welcome and Opening Remarks
- II. Public Comment
- III. Review and Approval of Previous Meeting Summary* (*Tab 1; Pages 4-9*)A. September 23, 2022
- IV. Items for Commission Review and Discussion (Tab 2; Pages 11-15)
 - A. Consolidated List of Proposed Rule Changes
 - B. Term Limits and Appointment Issues Continued Discussion
- V. 2023 Legislative Session Proposed BDR (*Tab 3; Page 17*)
- VI. Other Items/Discussion
- VII. Next Meeting Date and Location A. TBD
- VIII. Public Comment
- IX. Adjournment

- Action items are noted by * and typically include review, approval, denial, and/or postponement of specific items. Certain items may be referred to a subcommittee for additional review and action.
- Agenda items may be taken out of order at the discretion of the Chair in order to accommodate persons appearing before the Commission and/or to aid in the time efficiency of the meeting.
- If members of the public participate in the meeting, they must identify themselves when requested. Public comment is welcomed by the Commission but may be limited at the discretion of the Chair.
- The Commission is pleased to provide reasonable accommodations for members of the public who are disabled and wish to attend the meeting. If assistance is required, please notify Commission staff by phone or by email no later than two working days prior to the meeting, as follows: Jamie Gradick, (775) 687-9808: jgradick@nvcourts.nv.gov
- This meeting is exempt from the Nevada Open Meeting Law (NRS 241.030)
- At the discretion of the Chair, topics related to the administration of justice, judicial personnel, and judicial matters that are of a confidential nature may be closed to the public.
- Notice of this meeting was posted in the following locations: Nevada Supreme Court website: www.nvcourts.gov; Carson City: Supreme Court Building, Administrative Office of the Courts, 201 South Carson Street; Las Vegas: Nevada Supreme Court, 408 East Clark Avenue.

Meeting ID: 889 4040 9012 Participant Passcode: 69060

Please Note: Those attending via mobile device should use the Zoom application to access the meeting.

TAB 1

Supreme Court of Nevada

ADMINISTRATIVE OFFICE OF THE COURTS

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MEETING SUMMARY

Commission to Study the Rules Governing Judicial Discipline and Update, as Necessary, the Nevada Code of Judicial Conduct

September 23, 2022 2:00 PM Summary prepared by: Jamie Gradick

Members Present

Chief Justice Ron Parraguirre, Chair
Justice James Hardesty, Vice-chair
Judge Samuel Bateman
Ms. Lyn Beggs
Judge Bert Brown
Judge Mark Denton
Judge Gene Drakulich
Judge Elana Graham
Judge David Hardy
Mr. Dennis Kennedy
Judge Tammy Riggs
Judge T. Arthur Ritchie, Jr.
Judge Tom Stockard
Judge Ann Zimmerman

AOC Staff Present

Jamie Gradick Almeda Harper John McCormick

Guests Present

Ms. Dominika Batten Ms. Valerie Carter Mr. Don Christensen Director Paul Deyhle Professor Keith Fisher Mr. Joseph Sanford Ms. Nancy Schreihans Mr. Thomas Wilson

I. Call to Order

- ➤ Chief Justice Parraguirre called the meeting to order at 1:00 pm.
- Ms. Gradick called roll; a quorum was present.
- Opening Comments
 - Chief Justice Parraguirre welcomed attendees.

II. Public Comment

- ➤ No public comment was offered.
- III. Review and Approval of Previous Meeting Summary
 - ➤ The summary of the August 12, 2022 meeting was approved.

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Supreme Court Building ♦ 408 East Clark Avenue ♦ Las Vegas, Nevada 89101

- IV. Nevada Commission on Judicial Discipline Presentation (*Please see meeting material packet for additional information*).
 - Mr. Paul Deyhle, Executive Director of the Nevada Commsion on Judicial Discipline, provided a presentation on several topics addressed during previous ADKT Commission meetings.
 - Director Deyhle referenced the press photo addressed as part of Judge Riggs's presentation during the 8/12/22 Commission meeting and clarified that NCJD members, Mr. Karl Armstrong and Ms. Stefanie Humphrey, chose to sit in their respective locations during the depicted hearing.
 - Director Deyhle also explained that the hearing was held in that "small" location because no other venue was available and the NCJD does not have access to its own facility for hearings.
 - Director Deyhle explained that Judge Tobias was seated at the side of a table as directed by her counsel; the NCJD did not have input into that decision.
 - Director Deyhle commented on the unrealistic impacts of perception and cautioned against relying on perception when discussing these important issues.
 - Director Deyhle discussed proposed term limits and commented that this would be unconstitutional without a constitutional amendment.
 - Placing term limits on alternate members makes little sense since they, often, replace seated members when they retire. It's important that the NCJD operate with continuity and retain members with experience and historical knowledge.
 - It should be up to the appointing authorities to make these decisions, not the Legislature.
 - Director Deyhle informed attendees that the average length of service for NCJD members is 6.85 years. Mr. Karl Armstrong, who is, currently, the only person of color on the NCJD, could be forced to retire from the NCJD if term limits were imposed.
 - Director Deyhle addressed statistics and explained that only complaints filed with the NCJD are reflected in the annual reporting.
 - Complaints that are referred to the NCJD by judges are not counted as "filed" complaints. Many judges choose to refer reports of misconduct instead of filing complaints. Director Deyhle commented that, moving forward, the NCJD will track incidences of complaints (based on referrals from judges) filed by himself in his role as Director.
 - Director Deyhle discussed the use of "letters of caution" in future disciplinary actions
 and explained that the NCJD decided to give judges an opportunity to request
 reconsideration instead of an opportunity to respond because the letters are
 confidential, responses would further delay the process, and would require additional
 staff and NCJD time and resources to process.
 - Letters of Caution are meant to be a "proactive" and "helpful" measure for the judge.
 - Director Deyhle provided an overview of the role of the NCJD Executive Director (*Portions of this presentation were inaudible*).
 - Director Deyhle provided suggestions regarding expediting election practice violation inquiries.

- There is no constitutional way to bypass the due process protections. Director Deyhle suggested the Nevada State Bar be required to enforce it's Professional Rules of Conduct and the Secretary of State be required to enforce NRS Chapter 294A.
- Director Deyhle discussed NCJD budgetary constraints and informed attendees that the NCJD's current state is not one of "crisis". There are no backlogs but any additional duties or functions that may arise as a result of the ADKT Commission's work will likely require additional funds.
- ➤ Judge Riggs provided a brief response to Director Deyhle's presentation and clarified that, during her presentation on 8/12/22, she was very clear that she was not commenting on panelist behavior. Perception may not be accurate but it is the message that is being sent to the public; the perception in the community is that the the NCJD is a "boy's club".

V. Proposed Items for Commission Review

- Action Item Recommendations for Commission Approval as Proposed by Judge Tammy Riggs (*Please see meeting material packet for additional information*). Chief Justice Parraguirre clarified that he would prefer to gather all input and conduct a thorough review of these issues before the Commission moves forward with voting on action item recommendations.
 - Recommendation 1: Director Deyhle commented that he does not believe that the NCJD would object but proposed that "where possible" be inserted following "...the Commission members..." in the third line as it may not always be possible for diversity to be fully and proportionately represented at all times.
 - Recommendation 2: Director Deyhle expressed disagreement with Judge Riggs's assessment of the constitutionality of placing term limits on NCJD members.
 - Recommendation 3: Director Deyhle informed attendees that the NCJD already follows this recommendation and commented that the second sentence, "The Executive Director may not advise, recommend, or request that the appointing authority appoint a specific person... to fill the expiring term/vacancy" is not necessary, is overreaching and is intrusive. It is appropriate for the Executive Director to offer input as it is, ultimately, up to the appointing authorities to make all appointing decisions.
 - Recommendation 4: Director Deyhle does not believe that the NCJD would object to this.
 - Recommendation 5: Director Deyhle does not believe that the NCJD would object to this.
- Current Procedural Rules and Additional Proposed Topics for Discussion (*Please see meeting material packet for additional information*).
 - Director Deyhle briefly addressed the proposed procedural rule changes and new rule suggestions as proposed by the ADKT Commission members.
 - In the interest of time, Director Deyhle referred attendees to his submitted memorandum and exhibits on the topic for additional details.
 - Director Deyhle discussed a "consolidated" list of rule changes resulting from an August 18, 2022 meeting between himself, Judge Higgins, Judge Bateman, and Judge Riggs. This list was not submitted as part of the materials for this meeting.

- NCJD jurisdiction over judicial candidates: The group could not find a way around the necessity of amending the Constitution to give the NCJD jurisdiction over candidates who are not judges.
- Bifurcation and term limits: The NCJD doesn't see the propriety of seeking a
 constitutional amendment to bifurcate proceedings or impose term limits until key
 questions regarding timeliness, effectiveness, resource, and transparency questions
 can be answered. Until there is evidence to support that bifurcation and term limits
 would improve these areas, the NCJD believes the prudent approach is to reject
 these proposals.
- Change "Prosecuting Officer" to "Special Counsel": there is common ground on this issue and the NCJD would, likely, not object.
- Rule 6: the NCJD cannot post all documentation on its website due to functionality and capacity limitations.
- Rule 12: the NCJD provides a copy of the complaint and investigative documents to judges before the judge confidentiality answers the complaint. The NCJD will not agree to provide these documents prior to an investigative interview.
- Rule 18: the NCJD is willing to include in its procedural rules, a strong preference that venue be located where the judge is located but cannot accommodate cities outside of Clark or Washoe counties and the NCJD will retain discretion to make venue decisions based upon considerations including time to disposition.
- Rule 26: the NCJD must retain discretion to make these determinations.
- Rule 27: the NCJD would likely be willing to post Orders of Dismissal, with appropriate context, on the website.
- Director Deyhle briefly discussed proposed new rules and referred attendees to his memorandum and exhibits, as provided in the meeting materials, for further details.
- Judge Riggs provided a repsonse to Director Deyhle's comments.
 - The August 18 meeting with Director Deyhle, Judge Higgins, Judge Bateman, and Judge Riggs was productive. Judge Riggs commented that the group had agreed to continue to work on the issue of accountability for judicial candidate misconduct. This effort would likely need to expan to involve representatives from the State Bar.
 - Judge Riggs informed attendees that the "work group" needs to continue to meet to address these isssues. Judge Bateman seconded this.
 - Regarding imposing term limits on NCJD members, Judge Riggs commented that she disagrees with Director Deyhle's assertion that imposing term limits would be unconstitional. The Legislature has already moved in this area (NRS 1.440).
 Additionally, the NCJD doesn't have standing to object to the length of time members serve.
- Chief Justice Parraguirre commented that, perhaps, the easiest solution is to put these
 issues before the appointing bodies with the recommendations that perception and
 length of service be considerations when appointing bodies are making their
 appointments.
 - Professor Fisher commented that many states experience challenges finding members willing to serve on judicial discipline commissions; it's not uncommon for members to serve several terms in those states without term limts.

- Judge Zimmerman asked the attorneys present for their input on these issues given their experiences before the NCJD.
 - Mr. Kennedy commented that he has never witnessed anything that indicates a problem with diversity, inclusion, equity, or length of service. Length of service is often a benefit; the experience is valuable for the NCJD.
 - Ms. Beggs commented that the adminstrative agencies within Nevada, mostly, operate with term limits and, while the benefits of institutional knowledge and experience can be valuable, the lack of "new blood" and new input can also be detrimental.
- Justice Hardesty commented that there are comissions/boards with tasks and missions
 so unique that they don't lend themselves to term limts. The Board of Bar Examiners,
 for example, relies on the experience and long-term knowledge of its members to
 function. The NCJD may fall into this category but diversity needs to remain a
 priority.
- Judge Bateman informed attendees that he spoke with the State Bar regarding attorneys as judicial candidates; there were concerns regarding the Bar's expertise in the matter and complaint processing timelines.
 - Judge Bateman also spoke with a former Deputy Secretary of State; these specific issues don't appear to fall within that office's jurisdiction.
- Chief Justice Parraguirre suggested inviting a representative from the State Bar of Nevada to attend a meeting to discuss these issues.
 - He will reach out to Mr. Dan Hoege with an invite to attend a future meeting.
 - Attendees discussed nonlawyer judicial candidates; Justice Hardesty commented that it may be worth exploring the Secretary of State's role in ethic complaints against candidates. Brief discussion was held regarding required filing documentation.
- Chief Justice Parraguirre asked Director Deyhle, Judge Higgins, Judge Riggs, and Judge Zimmerman to provide Ms. Gradick with a reconciliation sheet covering the items listed under agenda items "V" for the next meeting.
 - The document should also identify what aspects have been agreed to, what haven't, and what impediments to resolution exist.

VI. 2023 Legislative Session – Proposed BDR

- > Judge Riggs requested the Commission vote on the BDR draft at the next meeting.
 - Attendees discussed the BDR timeline and whether changes can be made to the submitted draft; the BDR has been submitted but the group needs to determine whether it will approve it.
 - Justice Hardesty commented that, it is his understanding, that the BDR has been submitted as a placeholder for the Commission's full recommendations.
 - Attendees briefly discussed resources for vetting constitutionality of the term limit issue; will LCB review the BDR for constitutionality?

VII. Other Items/Discussion

➤ Judge Stockard suggested the Governor's Office and the State Bar be invited to participate in a meeting to discuss the appointment issues.

VIII. Next Meeting Date

- ➤ Chief Justice Parraguirre commented that he would like to hold the next meeting in a month.
 - Ms. Gradick will survey the Commission membership for meeting availability.

IX. Public Comment

➤ No public comment was offered.

X. Adjournment

The meeting was adjourned at 3:55 pm.

TAB 2

[This reduced/consolidated list was distributed by Judge Higgins on behalf of Judge Zimmerman at the meeting held on August 18, 2022, among Judges Riggs, Bateman and Higgins, and NCJD Executive Director Paul Deyhle]

[The NCJD Comments in red below correspond to the responses given during NCJD Executive Director Paul Deyhle's presentation to the ADKT Commission on September 23, 2022]

Proposed Rule Changes

For Procedural Rules of the

Nevada Commission on Judicial Discipline

Rule 6 Replace "Upon the filing of the Formal Statement of Charges, said Statement and other documents later formally filed with the Commission shall be made accessible to the public, and hearings shall be open" with "Upon the filing of the Formal Statement of Charges, said Statement and other documents and pleadings later formally filed with the Commission shall be posted on the website within forty-eight (48) hours of filing. Said documents shall also include any pleadings filed before the Supreme Court of Nevada as well as any decisions by the Supreme Court of Nevada within forty-eight hours of filings and/or publication."

NCJD Comments: The NV Commission cannot post all documents to the Commission's website due to functionality and capacity limitation issues as discussed at length in my Memorandum.

Rule 12.1 ... The Commission shall provide a copy of the complaint and all corresponding documents to the Respondent prior to scheduling an interview or providing an Answer.

NCJD Comments: The NV Commission already provides a copy of the complaint and all investigative documents to judges prior to them having to confidentially answer a complaint, as discussed in my Memorandum. However, the NV Commission will not agree to provide a copy of the complaint and all investigative documents prior to an investigative interview for the reasons addressed in the

Commission's Answering Brief attached as an Exhibit to Tab 3 of the Meeting Materials for the August 12th Meeting.

Rule 18 Replace "The Respondent and all counsel must be notified of the time and place of the hearing and must first be consulted concerning the scheduling thereof to accommodate, where possible, the schedules of the Respondent and counsel and those of their witnesses" with "The hearing shall be scheduled at a time that is mutually agreed upon by the parties and the Commission."

NCJD Comments: The NV Commission is willing to include in its Procedural Rules a strong preference for venue to be where the judge is located, with the choice being either Washoe County or Clark County, but not any other cities like Winnemucca, Ely, Pahrump, Elko, etc.; However, the priority must be the timely disposition of trials. As such, the NV Commission must retain discretion to make these decisions for good cause shown as do all courts in Nevada as further discussed at length in my Memorandum.

Rule 18 Replace "The proper venue for judicial hearings and proceedings shall be determined by the Commission at its sole discretion" with "The proper venue for judicial hearings and proceedings shall be the jurisdiction where the alleged misconduct occurred."

NCJD Comments: The NV Commission must retain the "where possible" language in Rule 18 or trials will be inappropriately delayed for many months as was attempted in past cases. The NV Commission must retain discretion as all courts do in Nevada as further discussed at length in my Memorandum.

Rule 26 Replace "The Commission may limit the time each party is allowed to present evidence" with "The Commission shall inquire of each party how much time will be required to present their case. Each party shall be allowed the amount of time requested to present their case."

NCJD Comments: The NV Commission already inquires of each party how much time will be required to present their respective cases as further discussed at length in my Memorandum. The NV Commission must ultimately retain discretion to determine the amount of time to present evidence based on the proportionality of the case and rules of evidence and procedure as do all courts in Nevada.

Rule 27 Replace ... "it shall forthwith prepare and file its order publicly dismissing the charges against the Respondent" with "it shall forthwith prepare and file its order with the Supreme Court of Nevada publicly dismissing the charges against the Respondent. Said Order of Dismissal shall also be published on the Commission's website within forty-eight (48) hours of filing."

NCJD Comments: The NV Commission would likely agree to post orders of dismissal on its website (but also alongside the related Formal Statement of Charges so there is context to the Order of Dismissal), as well as file it with the Supreme Court if desired by the Supreme Court. As I noted in my Memorandum, I am not sure what the Supreme Court would do with such Orders of Dismissal.

NEW RULE: Electronic testimony of witnesses shall be permitted at the discretion of the parties.

NCJD Comments: The NV Commission would likely be willing to promulgate such a Rule, but it would not be at the discretion of the parties, as proposed, but rather at the discretion of the NV Commission, which is consistent among all courts in Nevada as further discussed in my Memorandum.

NEW RULE: The Commission shall rule on all prehearing motions at least 14 calendar days prior to hearing.

NCJD Comments: This already takes place pursuant to the NV Commission's Prehearing Orders issued after formal charges are filed. Attached as an Exhibit to Tab 3 of the August 12th Meeting Materials is a copy of the Commission's Prehearing Order. However, the NV Commission would be willing to incorporate some of the language, not all, from its Prehearing Orders into its Procedural Rules. Nevertheless, as noted at length in my Memorandum, the only time that the NV Commission does not rule on prehearing motions prior to a trial, is when a judge's counsel submits them late.

NEW RULE: Pre-hearing motions shall be afforded oral argument in public cases unless the parties stipulate otherwise.

NCJD Comments: The NV Commission would not agree to this since this should be at the discretion of the NV Commission as is the case with all courts in Nevada as further discussed in my Memorandum.

NEW RULE: The parties and Commission members shall be permitted to appear remotely for any pre-hearing motions.

NCJD Comments: Yes, the NV Commission would likely be willing to incorporate into its Procedural Rules the language proposed, but only if the NV Commission orders oral argument on prehearing motions, which should be at its sole discretion as is the case with all courts in Nevada.

Additional Materials Coming Soon

TAB 3

Section 1. Chapter 1 of NRS is hereby amended by adding thereto a new section to read as follows:

An appointing authority may not appoint any person to the Commission on Judicial Discipline to serve more than two consecutive full terms.